



## Penalty Matrix by Racing Infraction Category

Flat Racing (Thoroughbreds and Quarter Horses)			
Violation	1st Offence	2nd Offence	3rd Offence
Urging	Minimum fine \$100 or 60% of monies earned whichever is greater	Minimum fine \$100 or 60% of monies earned whichever is greater and may be suspended for 1 day depending on the severity of the offence	Referred to the HRA Director, Regulatory and Supervisor of Racing
Failing to maintain a straight course away from the gate with no disqualification	\$100	\$200 or more	\$300 or more
Interference causing a horse to fall	if deemed to be extremely careless suspension of 15 calendar days or more	suspension of 15 racing days or more	Jockey licensed cancelled
Failing to maintain a straight causing interference with DQ	1 day suspension or more	1 day suspension or more	2-day suspension or more
Easing up on mount before finish line	\$100	\$200	\$300 or more



## Penalty Matrix by Racing Infraction Category

Harness (Standardbreds)					
Violation	1st Offence	2nd Offence	3rd Offence	4th Offence	5th Offence
Urging	\$100	\$300	3 days *Days cannot be in lieu of Stakes Races	5 days *Days cannot be in lieu of Stakes Races	10 days *Days cannot be in lieu of Stakes Races
One-handed Urging	5% winning purse or \$100 and 1 day	5% winning purse or \$100 and 2 days	5% winning purse or \$100 and 3 days		
Fail to maintain pace (Slow Pace)	5% winning purse or \$100	5% winning purse or \$100	5% winning purse or \$100 (Whichever is greater)		
FOOS (Foot out of stirrups)	\$100	\$200	\$300	2 days	3 days
Kicking	\$100	\$300	\$500 and 1 day	2 days	5 days
Interference	\$300 or 2 days	\$450 or 3 days	\$600 or 4 days		
Foot Out of Stirrups Contacting	\$100	\$300	\$500	2 days	5 days
Pylon Violation	Placing as per rule and a \$200 Fine				
Off gate	\$50	\$75	\$100		



## Penalty Matrix by Racing Infraction Category

Administration and Conduct				
Violation	1st Offence	2nd Offence	3rd Offence	4th Offence
Medicating in error necessitating a scratch	\$100	\$200 or more depending on circumstance	\$300 or more depending on circumstance	
Administering a foreign substance after the prescribed time on race day	\$500 and horse is scratched	\$1000 and horse is scratched	\$2000 and horse is scratched, and may include suspension	
Gastroscopy (Tubing)	60-180 days and \$2,000	6 months- 1 year and \$5,000	1-2 years and \$10,000	2-10 years
Syringe (Needle)	Up to \$2500 and may include suspension	\$5000 and 60 days *Revoked licence Reviewed by the Supervisor of Racing  Probationary licence may be considered.		
Unprofessional conduct toward other racing participant	\$100 or more	\$200 or more	\$300 or more	
Unprofessional conduct towards a racing official	\$250 or more	\$500 or more	Referred to Supervisor of Racing	
Entering in the wrong race causing a late scratch	\$100	\$200 or more	Horse will not be scratched	
Failing to conduct business in a proper manner	Up to \$300	Up to \$500	Up to \$4000	



## Penalty Matrix by Racing Infraction Category

Horse Positives & TCO <sub>2</sub> (All Breeds)				
Violation	1st Offence	2nd Offence	3rd Offence	4th Offence
Class I	1-5 years and \$5,000 fine	5-10 years and \$20,000	10 years and \$80,000	
Class II	6 months- 1 year and \$4,000	1-5 years and \$10,000	10 years and \$40,000	
Class III	60-180 days and \$2,000	6 months- 1 year and \$5,000	1-2 years and \$10,000	2-10 years
Class IV	15-30 days and \$1,500 fine or \$3,000	30 days and \$2,000	6 months and \$3,000	1 year and \$4,000
Class V	7-15 days and \$1,000 fine or \$2,000	15 days and \$2,000	30 days and \$3,000	60 days and \$4,000



## Penalty Matrix by Racing Infraction Category

Human Positives			
Violation	1st Offence	2nd Offence	3rd Offence
Jockeys and Drivers with a blood alcohol concentration of more than 50 milligrams of alcohol per one hundred milliliters of blood, while unfit for work on account of the use of a prescription, medically authorized or non-prescription drug; or with a level of drugs in excess of the concentrations below.	Immediate removal from all mounts or drives; and fined \$150.00 and suspended from riding or driving privileges for ten (10) calendar days.	Immediate removal from all mounts or drives; and fined \$300.00 and suspended from riding or driving privileges for ten (10) calendar days.	Immediate removal from all mounts or drives; Fined \$500.00 and suspended from riding or driving privileges for a minimum of thirty (30) calendar days; and denied the privileges of all grounds including grandstand for 30 days. A reduced penalty may be considered after 14 days if the offender has met with and received the approval from the Backstretch Coordinator for re-instatement. A Reinstatement Monitoring Agreement may be put into place.



## Penalty Matrix by Racing Infraction Category

Human Positives			
Violation	1st Offence	2nd Offence	3rd Offence
<b>Sanctions for Positive Tests for Illicit Drugs (Safety Sensitive Positions *excluding jockeys and drivers)</b>	<p>Immediate removal from all duties on the grounds; Fined \$200.00 and suspended from their duties on the grounds for a minimum of thirty (30) calendar days; and denied the privileges of all grounds including grandstand for up to thirty calendar (30) days. A reduced penalty may be considered after 14 days if the offender has met with the Backstretch Coordinator and the Backstretch Coordinator makes a recommendation for re-instatement. A Reinstatement Monitoring Agreement may be put into place.</p>	<p>Immediate removal from all duties on the grounds; Fined \$350.00 and suspended from their duties on the grounds for a minimum of thirty (30) calendar days; and denied the privileges of all grounds including grandstand for up to thirty calendar (30) days. A reduced penalty may be considered after 14 days if the offender has met with the Backstretch Coordinator and the Backstretch Coordinator makes a recommendation for re-instatement. A Reinstatement Monitoring Agreement may be put into place.</p>	<p>Immediate removal from all duties on the grounds; Denied the privileges of all grounds including grandstand; and Revocation of license. Will not be considered for re-instatement until at least three (3) years after 1st Offence. The offender will only be restored when:</p> <ol style="list-style-type: none"> <li>1. Negative sample has been provided.</li> <li>2. Has taken and satisfied a treatment program.</li> <li>3. Agrees to release all results from the program to the Backstretch Coordinator</li> <li>4. Agrees to any conditions applied to re-instatement including periodic drug testing.</li> </ol>



## Penalty Matrix by Racing Infraction Category

Human Positives			
Violation	1st Offence	2nd Offence	3rd Offence
<b>Sanctions for Positive Tests for Illicit Drugs (All Other Persons):</b>	<p>Immediate removal from all duties on the grounds; and fined up to \$200.00 and suspended from their duties on the grounds for a minimum of thirty (30) calendar days; and denied the privileges of all grounds including grandstand for up to thirty calendar (30) days. A reduced penalty may be considered after 14 days if the offender has met with the Backstretch Coordinator and the Backstretch Coordinator makes a recommendation for re-instatement. A Reinstatement Monitoring Agreement may be put into place.</p>	<p>Immediate removal from all duties on the grounds; and fined up to \$350.00 and suspended from all duties on the grounds for a minimum of thirty (30) calendar days; and denied the privileges of all grounds including grandstand for thirty (30) calendar days. A Reinstatement Monitoring Agreement may be put into place.</p>	<p>Immediate removal from all duties on the grounds; and denied the privileges of all grounds including grandstand; and Revocation of license. Will not be considered for re-instatement until at least three (3) years after 1st Offence. The offender will only be restored when: Negative sample has been provided. Has taken and satisfied a treatment program. Agrees to release all results from the program to the Backstretch Coordinator. Agrees to any conditions applied to re-instatement including periodic drug testing.</p>